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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9022.30

DATE: December 5, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

BOX PATENT APPLICATION

Commissioner for Patents

P.O. Box 2327

Arlington, Virginia 22202

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is hereby incorporated herein by reference in its entirety.  
☒ and claims the benefit of Provisional Application No. 60/251,463; filed **December 5, 2000**.

Inventor(s) or Application Identifier:

**Shanker Gupta**, Rockville, Maryland

**Barry J. Maurer**, Sylmar, California

**C. Patrick Reynolds**, Sherman Oaks, California

**B. Rao Vishnuvajjala**, Rockville, Maryland

Entitled:

**PHARMACEUTICAL COMPOSITIONS OF FENRETINIDE HAVING INCREASED  
BIOAVAILABILITY AND METHODS OF USING THE SAME**

**Correspondence Address:**



**20792**

PATENT TRADEMARK OFFICE

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ **26** pages of Specification (including **28** claims)
3. ☒ **1** sheet of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below]
  - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76

6.. ☐ Incorporation By Reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ Microfiche Computer Program (Appendix)

8. ☐ Assignment papers (cover sheet(s) and document(s))

9. ☒ Applicant claims small entity status. See 37 CFR 1.27.

10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited

11. ☐ Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)

12. ☐ English Translation Document

13. ☐ Certified Copy of \_\_\_\_\_ Application No. \_\_\_\_\_ ; Filed

14. ☐ Sequence Listing/ Sequence Listing Diskette

a. ☐ computer readable copy

b. ☐ paper copy

c. ☐ statement in support

15. ☐ An Associate Power of Attorney

16. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

17. ☒ Other: Application Filed Under 37 CFR 1.41(c)

The fee has been calculated as shown below:

|  | Column 1<br>No. Filed | Column 2<br>No. Extra | Small Entity<br>Rate      Fee | Large Entity<br>Rate      Fee |
|--|-----------------------|-----------------------|-------------------------------|-------------------------------|
| BASIC FEE  |                       |                       | \$370.00                      | \$ 740.00                     |
| TOTAL CLAIMS   | 28 - 20 =             | 8                     | x 09 = \$ 72.00               | x 18 = \$                     |
| INDEP CLAIMS   | 2 - 3 =               | 0                     | x 42 = \$                     | x 84 = \$                     |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented       |                       |                       | + 140 = \$                    | + 280 = \$                    |
| If the difference in Col. 1 is less than zero, Enter "0" in Col. 2 |                       |                       | Total \$442.00                | Total \$                      |

☒ A check in the amount of **\$442.00** to cover the filing fee is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).

☐ Please charge my Deposit Account No. 50-0220 in the amount of \$ \_\_\_\_\_.

☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:

a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.

b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Kenneth D. Sibley

Registration No. 31,665

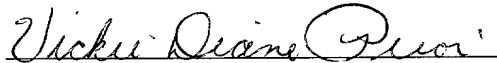
In re: Gupta, et al.  
Filed: December 5, 2001

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV015808522US

Date of Deposit: December 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, P.O.Box 2327, Arlington, VA 22202..



Vickie Diane Prior

ATTORNEY DOCKET NO. 9022.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gupta, et al.  
Serial No. To Be Assigned  
Filed: Concurrently Herewith  
For: **PHARMACEUTICAL COMPOSITIONS OF FENRETINIDE HAVING  
INCREASED BIOAVAILABILITY AND METHODS OF USING THE  
SAME**

December 5, 2001

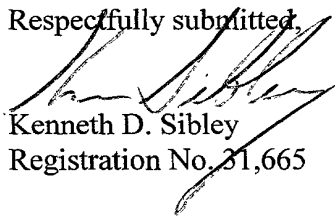
Box Patent Application  
Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors,  
**Shanker Gupta, Barry J. Maurer, C. Patrick Reynolds, and B. Rao Vishnuvajjala**, under  
the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors  
will follow, 37 CFR 1.63.

Respectfully submitted,

  
Kenneth D. Sibley  
Registration No. 31,665



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PATENT TRADEMARK OFFICE

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Vickie Diane Prior